#### FORM ADV PART 2B BROCHURE SUPPLEMENT - Brett A. Parker

### Item 1 - Cover Page

Brett A. Parker **Lobscouser II, LLC Doing Business as Aquilant**555 Maryville University Dr., Suite 440

Town and Country, MO 63141

314-309-2078

Date of Supplement: October 2018

This brochure supplement provides information about Brett A. Parker that supplements the Lobscouser II, LLC ("Aquilant") disclosure brochure. You should have received a copy of that brochure. Please contact Steven Farris at 314-650-8906 or at <a href="mailto:steven@farriswm.com">steven@farriswm.com</a> if you did not receive Aquilant's brochure or if you have any questions about the contents of this supplement.

Additional information about Brett A. Parker is available on the SEC's website at www.adviserinfo.sec.gov.

## <u>Item 2 – Educational Background and Business Experience</u>

## Brett A. Parker

Born 1962, CRD # 1891536

## Post-Secondary Educational Background:

Maryville University, Bachelor's Degree – Physical Therapy: 1997 Attended Southwest Missouri State University for 08/1991 to 05/1993 (no degree confired)

### **Business Background:**

Aquilant, Investment Advisor Representative, 04/2019 to Present; Cambridge Investment Research, Registered Representative, 04/2019 to Present; Royal Alliance, Registered Representative, 03/2004 to 03/2019; Premier Financial, Representative, 03/2004 to 03/2019;

### Item 3 - Disciplinary Information

Brett A. Parker h has no legal or disciplinary events to report.

# <u>Item 4 – Other Business Activities</u>

## Registered Representative of a Broker-Dealer

Brett A. Parker is separately licensed as a registered representative with Cambridge Investment Research, a registered securities broker/dealer, member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investors Protection Corporation (SIPC). When acting in his separate capacity as a registered representative of Cambridge Investment Research, Brett A. Parker may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, Brett A. Parker may suggest that advisory clients implement investment advice by purchasing securities products through a commission-based Cambridge Investment Research account in addition to a Aquilant Advisors advisory account.

The receipt of commissions creates an incentive for Brett A. Parker to recommend those products for which he will receive a commission. Consequently, the objectivity of the advice rendered to clients could be biased. Brett A. Parker controls for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing a fee-based account through Aquilant Advisors versus establishing a commission-based account through Cambridge Investment Research. Aquilant Advisors does not require its advisor representatives to encourage clients to implement investment advice through Cambridge Investment Research.

Brett A. Parker does not earn commissions in fee-based accounts.

Brett A. Parker will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for Brett A. Parker to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, Brett A. Parker will receive 12b-1 fees only in commission-based brokerage accounts. However, such fees can be earned in fee-based accounts managed by Brett A. Parker if 12b-1 fee paying mutual funds are held in the managed account. For ERISA accounts, there is an offset for any amount of 12b-1 fees. In such a situation, Brett A. Parker discusses with clients the selection of a 12b-1 or other trail paying mutual funds. Cambridge Investment Research maintains records of all 12b-1 fee payments to Brett A. Parker which may be viewed by clients upon request.

Clients are never obligated or required to establish accounts through Aquilant Advisors or Cambridge Investment Research. However, if a client does not choose to accept Steven E. Farris's advice or decides not to establish an account through Cambridge Investment Research, Brett A. Parker may not be able to provide management and advisory services to the client. Clients should understand that, due to certain regulatory constraints, Steven E. Farris, in his capacity as a Cambridge Investment Research. Brett A. Parker must place all purchases and sales of securities products in commission-based brokerage accounts through Cambridge Investment Research or its other approved institutions.

### **Insurance Agent**

Brett A. Parker is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, Brett A. Parker will receive commissions for selling insurance and annuity products.

Brett A. Parker may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Brett A. Parker when recommending products to its clients. While Brett A. Parker endeavors

at all times to put the interest of his clients first as a part of Aquilant Advisors' overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Steven E. Farris's ddecision-making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Brett A. Parker and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

## <u>Item 5 – Additional Compensation</u>

Brett A. Parker has now other compensation relationships to disclose.

### Item 6 - Supervision

Steven E. Farris is the Chief Compliance Officer of Aquilant. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Steven E. Farris can be contacted at 314-650-8906.

### Item 7 - Requirements for State-Registered Advisers

Brett A. Parker has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. He has not been involved in any award or found liable in any civil, self-regulatory organization, or administrative proceeding. Additionally, he has not been the subject of a bankruptcy petition.