

FORM ADV PART 2B BROCHURE SUPPLEMENT – Todd A. Nye

Item 1 – Cover Page

Todd A. Nye
Lobscouser II, LLC
Doing Business as Aquilant
555 Maryville University Dr., Suite 440
Town and Country, MO 63141
314-309-2078

Date of Supplement: October 2018

This brochure supplement provides information about Todd A. Nye that supplements the Lobscouser II, LLC (“Aquilant”) disclosure brochure. You should have received a copy of that brochure. Please contact Steven Farris at 314-650-8906 or at steven@farriswm.com if you did not receive Aquilant’s brochure or if you have any questions about the contents of this supplement.

Additional information about Todd A. Nye is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Todd A. Nye

Born 1962, CRD # 1891536

Post-Secondary Educational Background:

University of Missouri – Columbia, Bachelor’s Degree - Political Science: 2000

Business Background:

Aquilant, Investment Advisor Representative, 10/2018 to Present;
Cambridge Investment Research, Registered Representative, 10/2018 to Present;
Nye and Associates, Insurance Agent, 01/1993 to Present;
Independent Financial Group, LLC, Financial Advisor, 11/2015 to 10/2018
SII Investments, Representative, 09/2007 to 11/2015

Item 3 – Disciplinary Information

Todd A. Nye was the subject of a FINRA Regulatory Action in which it was alleged that Mr. Nye violated NASD Rules 2110, 3030 and 3040 by participating in an outside business activity and private securities transaction without the prior approval of his member firm. As a result of the action Mr. Nye was fined \$5000, Suspended for a period of 6 months and ordered to disgorge all commissions earned.

Item 4 – Other Business Activities

Registered Representative of a Broker-Dealer

Todd A. Nye is separately licensed as a registered representative with Cambridge Investment Research, a registered securities broker/dealer, member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investors Protection Corporation (SIPC). When acting in his separate capacity as a registered representative of Cambridge Investment Research, Todd A. Nye may sell, for commissions, general securities products such as stocks, bonds, mutual funds, exchange-traded funds, and variable annuity and variable life products to advisory clients. As such, Todd A. Nye may suggest that advisory clients implement investment advice by purchasing securities products through a commission-based Cambridge Investment Research account in addition to a Aquilant Advisors advisory account.

The receipt of commissions creates an incentive for Todd A. Nye to recommend those products for which he will receive a commission. Consequently, the objectivity of the advice rendered to clients could be biased. Todd A. Nye controls for this potential conflict of interest by discussing with clients the advantages and disadvantages of establishing a fee-based account through Aquilant Advisors versus establishing a commission-based account through Cambridge Investment Research. Aquilant Advisors does not require its advisor representatives to encourage clients to implement investment advice through Cambridge Investment Research.

Todd A. Nye does not earn commissions in fee-based accounts.

Todd A. Nye will receive 12b-1 fees from certain mutual fund companies as outlined in the fund's prospectus. 12b-1 fees come from fund assets, therefore, indirectly from client assets. The receipt of such fees could represent an incentive for Todd A. Nye to recommend funds with 12b-1 fees over funds that have no fees or lower fees. Typically, Todd A. Nye will receive 12b-1 fees only in commission-based brokerage accounts. However, such fees can be earned in fee-based accounts managed by Todd A. Nye if 12b-1 fee paying mutual funds are held in the managed account. For ERISA accounts, there is an offset for any amount of 12b-1 fees. In such a situation, Todd A. Nye discusses with clients the selection of a 12b-1 or other trail paying mutual funds. Cambridge Investment Research maintains records of all 12b-1 fee payments to Todd A. Nye which may be viewed by clients upon request.

Clients are never obligated or required to establish accounts through Aquilant Advisors or Cambridge Investment Research. However, if a client does not choose to accept Steven E. Farris's advice or decides not to establish an account through Cambridge Investment Research, Todd A. Nye may not be able to provide management and advisory services to the client. Clients should understand that, due to certain regulatory constraints, Steven E. Farris, in his capacity as a Cambridge Investment Research. Todd A. Nye must place all purchases and sales of securities products in commission-based brokerage accounts through Cambridge Investment Research or its other approved institutions.

Insurance Agent

Todd A. Nye is independently licensed to sell insurance and annuity products through various insurance companies. When acting in this capacity, Todd A. Nye will receive commissions for selling insurance and annuity products.

Todd A. Nye may also receive other incentive awards for the recommendation/sale of annuities and other insurance products. The receipt of compensation and other incentive benefits may affect the judgment of Todd A. Nye when recommending products to its clients. While Todd A. Nye endeavors at all times to put the interest of his clients first as a part of Aquilant Advisors' overall fiduciary duty to clients, clients should be aware that the receipt of commissions and additional compensation itself creates a conflict of interest, and may affect Steven E. Farris's decision-making process when making recommendations.

Clients are never obligated or required to purchase insurance products from or through Todd A. Nye and may choose any independent insurance agent and insurance company to purchase insurance products. Regardless of the insurance agent selected, the insurance agent or agency will receive normal commissions from the sale.

Item 5 – Additional Compensation

Todd A. Nye has no other compensation relationships to disclose.

Item 6 – Supervision

Todd A. Nye is the Chief Compliance Officer of Aquilant. He is responsible for overseeing and enforcing the firm's compliance programs that have been established to monitor and supervise the activities and services provided by the firm and its representatives. Todd A. Nye can be contacted at 314-650-8906.

Item 7 – Requirements for State-Registered Advisers

Todd A. Nye has not been involved in an arbitration award and has not been found liable in an arbitration claim alleging damages in excess of \$2,500. As stated in Item 3 above, he was the subject of a FINRA Regulatory Action in which it was alleged that Mr. Nye violated NASD Rules 2110, 3030 and 3040 by participating in an outside business activity and private securities transaction without the prior approval of his member firm. As a result of the action Mr. Nye was fined \$5000, Suspended for a period of 6 months and ordered to disgorge all commissions earned. He has not been the subject of a bankruptcy petition.